

Customer Profile Form

(For Individuals)



Guaranty Trust Bank plc
RC 152321

(To be completed by the Relationship Officer)

PART I: CUSTOMER'S DETAILS

Name		
Address		

PART II: CUSTOMER'S AML RISK CATEGORY

	Score (1-3)
Country of residence	
Country of Citizenship	
Nature of business activities/occupation	
Length of relationship with the Bank	
Usage of Bank's products and services	
Total Weighted Average (WA) Score	

	Score = 1	Low	1 < Score < 2.5	Medium	Score >= 2.5	High
Customer category code	1		2		3	
Justification for customer risk rating						

PART III: RELATIONSHIP OFFICER'S DECLARATION

As the account officer for the above named prospective customer(s) of the bank, I hereby certify that I have carried out the relevant due diligence to establish the identity, address and nature of business of the prospective customer and their eligibility as an account holder with the bank. On the basis of information arising from my discussions with the prospective customer and due diligence, I confirm that my AML risk rating of the prospective customer is appropriate and in line with the bank's guidelines.

I acknowledge that it shall be my responsibility to continuously monitor the account holder(s)/the account activity and to promptly inform Compliance Office, if at any point in time, there is (are) profound change(s) in the standing of the account holder(s) and/or suspicious transaction on the account.

Relationship Officer's Name		Employment No.	
Signature		Date	

PART IV: CONCURRENCE AND APPROVALS

Head of Operation's Concurrence: I concur to the AML risk rating (in PART III of this form) of the customer by the Relationship officer.			
Head of Operations' Name		Employment No.	
Signature		Date	